

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3269122
<b>Application Number:</b>	09930786
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	3301
<b>Title of Invention:</b>	Automated financial portfolio coaching and risk management system
<b>First Named Inventor/Applicant Name:</b>	Ronald E. Sloan
<b>Customer Number:</b>	29838
<b>Filer:</b>	Christopher R. Hilberg/Debra Bruck
<b>Filer Authorized By:</b>	Christopher R. Hilberg
<b>Attorney Docket Number:</b>	60021-375602
<b>Receipt Date:</b>	07-MAY-2008
<b>Filing Date:</b>	15-AUG-2001
<b>Time Stamp:</b>	16:39:37
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 810
RAM confirmation Number	2013
Deposit Account	501901
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Request for Continued Examination (RCE)	RCE.pdf	65316 4c32ab739311e8fb445bddc80eb87df389ce79b8	no	1
<b>Warnings:</b>					
This is not a USPTO supplied RCE SB30 form.					
<b>Information:</b>					
2		SIDS_of_5-7-08.pdf	159252 de61ac10fd8d9c1ca170f8085de424750bc55f10	yes	4
	<b>Multipart Description/PDF files in .zip description</b>				
	<b>Document Description</b>		<b>Start</b>	<b>End</b>	
	Information Disclosure Statement Letter		1	3	
	Information Disclosure Statement (IDS) Filed		4	4	
<b>Warnings:</b>					
<b>Information:</b>					
3	NPL Documents	SCIFINANCE-data_processing_in_the_securities_industry.pdf	1016734 2278b34a95fa978090240e59b77b1bcc856e9084	no	11
<b>Warnings:</b>					
<b>Information:</b>					
4	NPL Documents	Solving_Pattern_Data_Exchange_Problems.pdf	428685 ad621ae1b88deb9313c7857e0cf571052be1459a	no	7
<b>Warnings:</b>					
<b>Information:</b>					
5	NPL Documents	Financial_Risk_Management_in_Action.pdf	320280 02d3df51f355e44e2efa1d92a1e70fcd9d20aae3	no	6
<b>Warnings:</b>					
<b>Information:</b>					
6	NPL Documents	Debt-Maturity_Structures.pdf	330198 8ef76dc15b513f054ea129ceb232c7afc00da4a6	no	6
<b>Warnings:</b>					
<b>Information:</b>					
7	NPL Documents	Measuring_and_Managing_Risk.pdf	459071 73cf4b54f78964efb66c5f36878b09b09f102281	no	6
<b>Warnings:</b>					
<b>Information:</b>					

8	NPL Documents	WHIP.pdf	301412	no	6
			f1a839abf78c3c976ee8171eb23717c29be3bfce		
Warnings:					
Information:					
9	NPL Documents	OA_of_10-23-07_for_Applic_02802758-9_2221.pdf	262902	no	7
			655e85ee8017fadcf37d4c72bee41c1393de2a13		
Warnings:					
Information:					
10	NPL Documents	OA_of_11-30-07_for_Applic_09-704838.pdf	401160	no	11
			a3ec4eab91dc115f6d26dfd68c0de3f544e482ac		
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11	NPL Documents	FOA_of_1-4-08_for_Applic_09-929610.pdf	406581	no	12
			abaceaa79c02c7e94a4c59a39811b597ceb2ce68		
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12	NPL Documents	OA_of_2-6-08_for_Applic_09_976443.pdf	396576	no	12
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Warnings:					
Information:					
13	NPL Documents	FOA_of_2-8-08_for_Applic_09927560.pdf	342715	no	11
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Warnings:					
Information:					
14	NPL Documents	OA_of_01-31-08_for_Applic_00959864-0_1238.pdf	203135	no	6
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Warnings:					
Information:					
15	NPL Documents	OA_of_4-3-08_for_Applic_09_927560.pdf	616451	no	16
			e634678a62a6aadabaed4aae509d4cce83fc3377		
Warnings:					
Information:					
16	Fee Worksheet (PTO-06)	fee-info.pdf	30061	no	2
			769380a49c92bc8e06d1c1dfb5959d2273810ce5		
Warnings:					
Information:					

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.